

16569 U.S. PTO  
103103

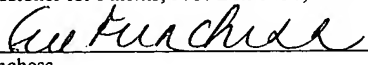
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on October 31, 2003 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **ET582748184US**, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No.: SUN1P763/  
SUN030222 NP/US  
First Named Inventor: MCGUIRE

22264 U.S. PTO  
10/698989  
103103

  
Sue Funchess

**UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:  
**CYNTHIA A. MCGUIRE, TIMOTHY P. HALEY, ANDREW M. RUDOFF,  
MICHAEL W. SHAPIRO, and MATTHEW T. SIMMONS**

For: **METHOD AND ARCHITECTURE FOR AUTOMATED FAULT DIAGNOSIS AND  
CORRECTION IN A COMPUTER SYSTEM**

Assigned to:

**Application Elements:**

- ☒ **39** Pages of Specification, Claims and Abstract
- ☒ **5** Sheets of Informal Drawings
- ☐ Pages Combined Declaration and Power of Attorney

**Accompanying Application Parts:**

- ☒ Do not publish this application. Nonpublication Request is attached.
- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
  - ☐ Copies of IDS Citations
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard
- ☐ Other:

Claim For Foreign Priority

- ☐ Priority of \_\_\_\_\_ Application No. \_\_\_\_\_ filed on \_\_\_\_\_  
is claimed under 35 U.S.C. § 119.
- ☐ The certified copy is enclosed.
- ☐ The certified copy has been filed in prior application U.S. Application No. \_\_\_\_\_
- ☐ The certified copy will follow.

Fee Calculation (37 CFR § 1.16)

	(Col. 1) Total Claims		(Col. 2) Claims	(Col. 3) Present Extra	Rate	Additional Fee
TOTAL	59	MINUS	20	= 39	x 18 =	\$702.00
INDEP.	5	MINUS	3	= 2	x 86 =	\$172.00
[ ] First presentation of multiple dependent claim					\$290 =	
Basic Filing Fee under 37 C.F.R. §1.16(a)					\$770 =	\$770.00
TOTAL						\$1,644.00
SMALL ENTITY 50% FILING FEE REDUCTION (if applicable)						

- ☐ Check No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ is enclosed.
- ☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. \_\_\_\_\_).

**DO NOT CHARGE ANY FILING FEES TO OUR DEPOSIT ACCOUNT  
AT THIS TIME.**

General Authorization for Petition for Extension of Time (37 CFR §1.136)


☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. SUN1P763).

☒ Please send correspondence to the following address:

**Customer Number 022434**

022434

Date: October 31, 2003

  
\_\_\_\_\_  
Francis T. Kalinski II  
Registration No. 44,177

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re application of: MCGUIRE et al.

Attorney Docket No.: SUN1P763/  
SUN030222 NP/US

Application No.: Filed Herewith

Examiner: Unassigned

Filed: Herewith

Group: Unassigned

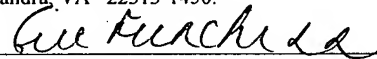
Title: METHOD AND ARCHITECTURE FOR  
AUTOMATED FAULT DIAGNOSIS AND  
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Signed: \_\_\_\_\_



Sue Funchess

**NONPUBLICATION REQUEST AND CERTIFICATION  
(35 U.S.C. 122(b)(2)(B)(i))**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: October 31, 2003



Francis T. Kalinski II  
Registration No. 44,177